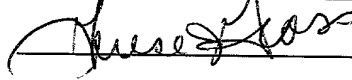


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TERESE J. GROSS



Jc525 U.S. PTO
09/336058
06/23/99

Asst. Commissioner for Patents
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Washington, DC 20231

Attorney Docket Number: WHITYMRE-1

Inventor(s): GEORGE WHITMYRE AND HARVEY J. PRICE

Title: A RAPID PRODUCTION METHOD FOR THE
CARIBBEAN STEEL PAN AND A CARIBBEAN
STEEL PAN WITH DETACHABLE SKIRT

We transmit herewith for filing the above-identified patent application. Enclosed are the following:

- (X) Patent specification with attached signed declaration.
- () Patent specification with attached unsigned declaration.
- () Patent specification without attached declaration.
- (X) Drawings (1 Sheet)
- (X) Verified Statement Claiming Small Entity Status

Please send all correspondence to:

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The filing fee has been calculated as shown below:

Basic Fee
Total Claims in excess of 20 x \$9.00
Independent Claims in excess of 3 x \$39.00
Multiple Dependent Claim - \$130.00
Total

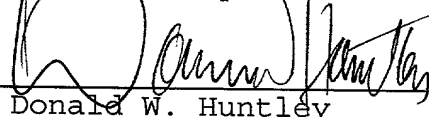
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Respectfully submitted,


Donald W. Huntley

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27 (b))—INDEPENDENT INVENTOR
Docket Number (optional)
 WHITMYRE-1

 Applicant (s) or Patentee (s): GEORGE WHITMYRE AND HARVEY J. PRICE
 Serial or Patent No.: UNKNOWN
 Filed : JUNE 23, 1999
 Title: A RAPID PRODUCTION METHOD FOR THE CARIBBEAN STEEL PAN

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

 X the specification filed herewith with title as listed above.

 the application identified above.

 the patent identified above.

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Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

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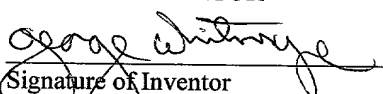
Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

GEORGE WHITMYRE

NAME OF INVENTOR



Signature of Inventor

6-23-99

Date

HARVEY J. PRICE

NAME OF INVENTOR



Signature of Inventor

6/23/99

Date

**PRODUCTION OF A
CARIBBEAN STEEL PAN AND A
CARIBBEAN STEEL PAN WITH DETACHABLE SKIRT**

BACKGROUND OF THE INVENTION

5 This invention relates to the Caribbean (Calypso) steel pan, a musical instrument typically created from a metal barrel or drum. Traditional pan production begins with a half barrel or drum, wherein the top or bottom flat panel is rendered concave by hammer-sinking the lid or bottom of the drum to form a concavity, then laying out and hand forming raised notes on the concave surface of the drum.

10 Handmade pans typically have long delivery times and the high cost associated with hand-crafted objects. Previous attempts at mechanizing the production of steel pans have not been successful in terms of efficiency and producing a high quality musical instrument. Accordingly, a need exists for production techniques that will make this musical instrument more widely available to both students and to professional

15 musicians.

SUMMARY OF THE INVENTION

 The present invention provides a process for the production of steel pans which provides consistent, efficient production. The invention also provides a

20 finished instrument with a removable skirt, which facilitates transportation, storage, and tuning of the instrument.

 Specifically, the instant invention provides a process for forming a Caribbean steel pan consisting essentially of:

- 25 (a) determining the shape and dimensions of a selected Caribbean steel pan or determining an average shape and dimensions of more than one selected Caribbean steel pan;
- (b) creating a compilation of topographic data of the shape and dimensions of the pan or pans;
- (c) using the resulting compilation to form a mold to substantially
- 30 replicate the surface of the selected steel pan or pans;
- (d) incorporating the mold into a hydroforming press;

(e) pressing a sheet metal disk having a desired diameter and a substantially uniform thickness in the hydroforming press to form a steel pan head having a plurality of individual raised convex note producing shapes formed therein, which produce a resonant sound when struck by a mallet;

- 5 (f) heat treating the steel pan head;
- (g) trimming the outer edge of the steel pan head; and
- (h) attaching a side skirt to the pan head to form a Caribbean steel pan.

The present invention further provides the steel pans resulting from this process.

10

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an exploded view of a tenor pan of the present invention.

Figure 2 is a cross-sectional view of a representative rim of a pan of the present invention.

15

DETAILED DESCRIPTION OF THE INVENTION

In accordance with the process of the present invention, a Caribbean steel pan is selected for replication based on a variety of performance characteristics, including its timbre. The selected pan should exhibit resonant, clear notes and no dissonant harmonics or overtones. Representative pans include those that are hand-fabricated, typically from an intact 55-gallon oil drum having an 18 gauge carbon steel bottom and a 20 gauge carbon steel side. A smooth finish in the concave field between notes is also a desirable trait in selecting the ideal pan. A typical tenor pan contains 28 to 30 notes covering a musical range of C4 to F6.

- 20 harmonics or overtones. Representative pans include those that are hand-fabricated, typically from an intact 55-gallon oil drum having an 18 gauge carbon steel bottom and a 20 gauge carbon steel side. A smooth finish in the concave field between notes is also a desirable trait in selecting the ideal pan. A typical tenor pan contains 28 to 30 notes covering a musical range of C4 to F6.
- 25 The shape and dimensions of the selected pan are first determined. In the alternative, the average shape and dimensions of more than one pan can be determined and the average shape and dimensions used in the instant process. While the shape and dimensions of either the top or bottom of the pan can be used, preferably the playing surface is so determined. The shape and dimensions can be conveniently
- 30 determined, scanned and digitized using scanning means such as an industrial digitizing probe. The probe can operate by means of any of laser interferometry, a

piezo electric transducer, and a variable reluctance probe transducer. Of these, a piezo electric transducer has been found to be particularly satisfactory. The probe typically has a ball-end sensor and the probe is traversed in microstep fashion across the entire surface of the master pan.

- 5 The pan is typically scanned and digitized directly. Alternately, a cast male mold of the pan can be made and digitized as described above, if the shape of the pan or the scanning apparatus make this more convenient.

- A compilation of topographic data of the shape and dimensions of the pan or pans is created, based on the information previously determined. This compilation
10 can be carried out using available software, such as Bridgeport E-Z Mill or Hermle profiling software, producing a CNC motion-control database. This compilation is then used to form a mold to substantially replicate the surface of the selected steel pan or pans. The resultant digital file is generally post-processed to produce a computer-numerical control (CNC) code. The exact topography of the digitized pan is
15 duplicated by CNC milling of a mild steel plate or other appropriate punch material of diameter and thickness matching the pan inside dimensions.

- The CNC milling machine creates the hydro-forming punch with successive cuts by a rotating ball end mill cutting tool. Alternately, other cutting machines or techniques can be used to produce the punch. Standard deburring and finishing
20 operations that follow the milling result in a (male) punch accurate to 0.001 inch or better for the topography of the digitized master pan. The usable work life of the mild steel punch can be, and preferably is, prolonged by cyanide case surface hardening.

- The mold so produced is then incorporated into a hydroforming press. A wide variety of hydroforming presses are available. One found to be particularly
25 satisfactory is the Cincinnati Machine Company Hydroform 32 press, a conventional 32-inch hydroforming press.

- A sheet metal disk having a desired diameter and a substantially uniform thickness is pressed in the hydroforming press to form a steel pan head conforming to the shape of the steel pan originally replicated. The disc should have a substantially
30 uniform thickness, and can be prepared from steel stock, such as the 18 or 20 gauge drawn-quality cold-rolled steel conventionally used in steel drum manufacture. Other

metals which can be used include stainless steels such as 304 alloy stainless steel, 220 alloy bronze, titanium or other sheet stock alloys. Unlike previous carbon steel pans, steel pans made from these less common materials require no electroplating to protect the surface from corrosive oxidation.

5 The size of the metal disc will vary with the size of the pan to be produced. In general, the disc generally will have a diameter at least about 10 inches greater than the diameter of the pan head being produced. The hydroformed disc has a plurality of individual raised convex note producing shapes formed therein, which produce a resonant sound when struck by a mallet.

10 The pan head is then heat treated to stabilize the formed configuration. Annealing and stress-relieving of the formed pan in a heat treatment furnace, preferably with a controlled atmosphere, further enhances the uniformity and stability of the pan heads. This specific heat treating operation will necessarily vary with the metal used for the pan head, as will be recognized by those skilled in the art.

15 Typically, carbon steel is blue-annealed for 15 minutes at 570 F ° and 304 alloy stainless steel pans are annealed at 800 F ° for ½ hour to a straw color. Typical annealing temperatures, soak times and quenching methods are shown in Table 1.

Table 1. Heat Treatment of Caribbean Steel Pans

Alloy	Temperature, F °	Soak Time	Quenching
Cold Rolled Steel	570, medium blue	20 minutes	air
304 Stainless Steel	700, gold straw color	30 minutes	air

20 After annealing, the outer edge of the pan head is trimmed to accommodate attachment of a side skirt. Excess material is trimmed from the rim of each pressed pan by means of a spinning lathe parting tool, laser cutting, plasma arc cutting or by other means to achieve the desired rim diameter.

25 In preferred embodiments of the process of the present invention, either or both of coarse and fine tuning of the pan follow the step of trimming and rim edge forming. The normal variation of materials and processing conditions generally makes rough tuning necessary. The rough tuning is generally carried out after heat treating or after trimming. Preferably, the rough tuning is carried out after heat

treatement and before trimming. Fine tuning is generally carried out after attachment of the skirt, particularly when the skirt is attached permanently. The techniques for both coarse and fine tuning are those conventionally used in the art, as described, for example, in Kronman, "Steel Pan Tuning - A Handbook for Steel Pan Making and Tuning," Musikmuseets, Stockholm, Sweden (1991), hereby incorporated by reference.

The pans produced according to the process of the invention can have a detachable skirt. This embodiment of the invention is preferred, and facilitates transportation, storage and tuning of the pan. It also permits the use of a wide variety of skirts and thus steel pans with variable tones, resonance, and other musical properties, as well as a variable appearance.

The trimmed pan head can be mounted on a Prybill model 40 spinning lathe for edge rolling to either an open head profile for clamping or a closed-head preformed edge for crimping. The lathe faceplate is prepared with the appropriate ANSI National Standard contour for these rim edge configurations.

The shell can be seamed to the pan using a Packaging Specialties custom crimp roller tooling mounted on a modified radial arm drill press. One pan assembly, having a rolled skirt, can be stacked with liquid latex sealing compound applied to the crimp seam of the formed pan head. The radial drill press with a turntable platen forces the shell down onto the contour-formed pan head edge while the crimping rollers close the crimp.

Ferrous steel pans are generally finished by electroplating, typically by sequential copper, nickel and chromium layers. This is followed by hand applications of paste wax. 10% nitric acid will passivate the stainless steel pan surfaces to remove surface oxidation and ferrous deposits from tooling. Electro-chemical polishing of stainless steel and other non-ferrous alloys will produce a fine microline finish. Final tuning or "blending" is preferred after the electroplating, passivation, electropolishing processes or other finishing method.

The invention will be more fully understood by reference to the drawings, in which Figure 1 is a perspective top view of a showing the one-piece, hydroformed tenor pan with 30 musical notes comprising 2-1/6 octaves. The note-producing

shapes **10** are raised areas in the upper surface **11** of the pan head. The rim **13** is a standard ANSI steel container dimensional standard rim shape for a rolled edge for a detachable, clamped drum head. Skirt **14** is retained by lever lock clamp ring **15**.

Figure 2 is a cross-sectional view of a rolled edge shape for removable
5 hydroformed pan attachment to a skirt. In the alternative, a standard ANSI steel
container dimensional standard rim shape can be used for a permanent, crimped
attachment to a shell.

A radius or an offset is rolled on the rim edge by means of a spinning lathe, sheet metal rolls, secondary press operation or by other means to conform with ANSI standard steel container dimensional standards. A rim edge radius designed for pan head clamping to the shell allows detachment of the playing surface from the drum shell for ease of transport, tuning and exchanging rims of different appearance and resonant qualities. An offset rim edge can be used for permanent attachment of the pan head to the shell.

15 Pan head clamping of a rolled rim to a rolled shell edge can be achieved by means of a lever-lock band clamp, multiple trunk latches, bolt screw, binding strips or by other means.

A third removable pan head configuration that can be used requires that a rim edge, rolled for rigidity and for safety, is punched with multiple grommet mounting
20 holes for acoustical isolation and for mechanical coupling of threaded studs attached to shell below.

The invention described herein overcomes the variables of hand production and provides a means of manufacturing steel pans characterized by uniform size, shape and thickness of pans produced by said method. The present process, involving hydroforming of the concavity and simultaneously embossing the musical note shapes, assures consistency for controlled, uniform dimensions, resulting in steel pans with predictable tuning characteristics. The hydroforming techniques used in the present invention present marked advantages over those pressing techniques previously attempted using conventional hydraulic presses with matching male and female dies. Those prior techniques resulted in distortion of the note-producing surfaces, making subsequent tuning significantly more difficult or impossible.

The lower cost and rapid production of a hydroformed pan make this instrument more widely available to school and community music programs.

The present invention, using hydroforming press technology, permits uniform, low-cost "sinking" of the spherical concavity and simultaneous formation of the convex, musical note-producing shapes. This process can be used to rapidly manufacture the full range and configuration of Caribbean steel pans including the lead tenor, double tenor lead, mid-range "guitar" doubles, cellos and the bass range consisting of 5 or more bass pans having only three or four notes embossed on the playing surface. Diameters can vary widely, depending on the available sizes of hydroforming press, by typically ranging from 10 to 22.5 inches.

The above description shall not be construed as limiting the ways in which this invention may be practiced but shall be inclusive of many other variations that do not depart from the broad interest and intent of the invention.

The present invention will be more fully understood by reference to the following specific example.

Example

A 22-1/2" diameter high D lead tenor pan was prepared. A desired hand-made high-D lead tenor pan was first selected for a model. based on its superior tambre, with resonant, clear notes and no dissonant harmonics or overtones. This representative pan was easily fine-tuned, or blended, to octaves and to the 3rd harmonic of each note. The pan was hand-fabricated from an intact 55-gallon oil drum composed of an 18 gauge carbon steel bottom and had a 20 gauge carbon steel rim skirt cut 5-3/4" deep from the original 32" high drum chime. The oil drum had been prepared from cold rolled steel thickness of 1.2 mm or 0.047 inch. The subject pan had a durable, hard chromium- plated surface and a single rim hole on each side for suspending the pan on a playing stand by means of 6" nylon cable ties. The rim was rolled flat (not round beaded) and the skirt is cut off square without rolling or seaming.

The select hand-fabricated D-tenor pan was clamped to the bed of a Hermle model UWF 1000, 3-axis CNC milling machine. A Bridgeport digitizing probe with a 3/4" ball end was traversed by power feed at a feed rate of approximately 1-1/2" per

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in a Niagra Machine Works model 33RC Disk Shear.

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diaphragm.

The hydroformed pan head was then annealed and stress-relieved in a Recco model 448 Solution/Heat Treatment Furnace. The Recco furnace temperature chart recorder showed the furnace temperature profile to assure the correct soak time and temperature.

Each pan note fundamental tone and harmonics was rough tuned using 12, 24 and 32 oz. ball peen hammers. This process required less time than for conventional pan tuning since a skirt or drum rim did not interfere with hammering from the underside, an appreciable benefit in softening and tuning the lower notes along the rim.

After rough tuning, the surplus flange material on the hydroformed pan head was template-scribed to 24-3/8" diameter and sheared off with a Bosch model 1500 throatless power shear.

A skirt was prepared and attached to the pan head. Vinyl-masked mirror-finish 304 stainless steel (No. 2 Special Bright Annealed, Republic Steel Company) 20 gauge sheet stock was sheared in a Wysong 796 model #P6-121 pneumatic-operated power shear to produce a 6" high skirt. It was subsequently roll-formed on a Whitney Roll Forming machine and the seam was butt welded by a Pandjiris 72E exterior seamer.

The resulting pan head, with skirt attached, was then finished. The skirt weld tarnish was chemically cleaned with an Ox-Out Power Pak model 536 by ChemClean Corp. Additional finishing is not required for the No. 2 Special Bright Annealed, 20 gauge, 304 stainless steel alloy sheet stock, produced with a mirror finish by Republic Steel Corporation.

The resulting pan was tested, and found to have excellent musical characteristics.

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FIGURE 1

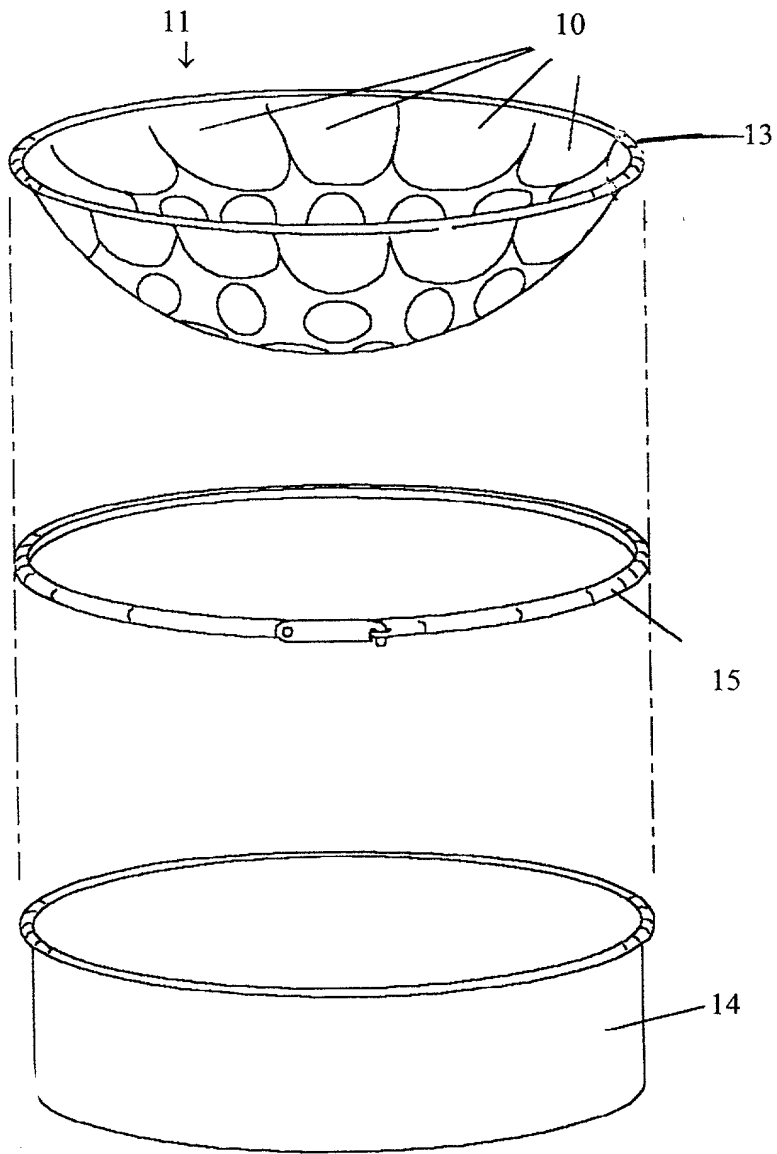
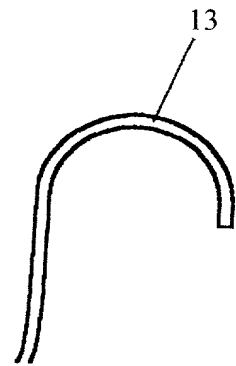


FIGURE 2



	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2
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Full Name of Inventor ⁽¹⁾	Last Name WHITMYRE	First Name GEORGE	Middle Name	
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Full Name of Inventor ⁽²⁾	Last Name PRICE	First Name HARVEY	Middle Name J.	
Residence & Citizenship	City WILMINGTON	State or Foreign Country DELAWARE	Country of Citizenship USA	
Post Office Address	Post Office Address 2708 BAYNARD BLVD	City WILMINGTON	State or Country DELAWARE	Zip Code 19802

A RAPID PRODUCTION METHOD FOR THE CARIBBEAN STEEL PAN

☐ Patent specification was filed on _____ as U.S. application Serial No. _____
and was amended on _____ (if applicable).

☐ Required information as to earlier-filed foreign applications of which priority benefit is claimed appears on page 3 attached.

☐ Required information as to earlier-filed U.S. applications of which priority benefit is claimed appears on page 3 attached.

POWER OF ATTORNEY: The power to prosecute this application and transact all business in the Patent and Trademark Office connected therewith is hereby granted to the following ☒ attorney(s) ☐ agent(s):

24.673

Tel. No.
(302) 426-0610

Date _____

☐ Required information as to earlier-filed foreign applications of which priority benefit is claimed.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Country	Application No.	Filing Date	Priority Claimed (Yes-No)
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☐ Required information as to earlier-filed U.S. applications of which priority benefit is claimed.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 CFR §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Application Serial No.	U.S. Filing Date	Status(patented, pending or abandoned)
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